

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Examiner: Not Yet Assigned
Q. Jin and S. Srinivasan	)	
Serial No.: Not Yet Assigned	)	
Filed: August 25, 2003	)	Art Unit: Not Yet Assigned
For: METHOD, SYSTEM, AND	)	
ARTICLE OF MANUFACTURE	)	
FOR PROCESSING UPDATES	)	
TO INSERT OPERATIONS	)	
	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

- B. ☒ The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
  - 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
  - 3) before the mailing date of a first Office Action on the merits; or
  - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.
- C. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
  - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
  - 2) a fee of \$180 as set forth in 1.17(p).

#### **STATEMENT UNDER 1.97(e)**

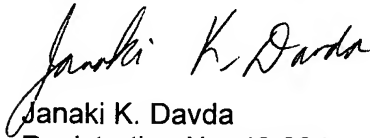
I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

If required under paragraph C or D *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 09-0460. A duplicate of this letter is enclosed for fee processing.

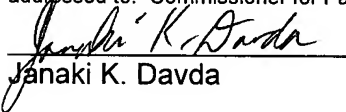
Respectfully submitted,



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Dated: August 25, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on August 25, 2003.

  
Janaki K. Davda

8/25/03  
(Date)

Form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<i>Complete if Known</i>	
<b>Application Number</b>		Not Yet Assigned			
<b>Filing Date</b>		August 25, 2003			
<b>First Named Inventor</b>		Q. Jin et al.			
<b>Art Unit</b>		Not Yet Assigned			
<b>Examiner Name</b>		Not Yet Assigned			
<b>Attorney Docket Number</b>		SVL920030041US1			
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U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code (if known)			
		US-5,603,024	02-11-1997	Goldring	
		US-5,684,990	11-04-1997	Boothby	
		US-5,926,816	07-20-1999	Bauer et al.	
		US-5,995,980	11-30-1999	Olson et al.	
		US-6,442,543	08-27-2002	Snodgrass et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code- Number- Kind Code				
		JP-2001022620A2	02-26-2001	Kazushige et al.		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS
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Form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Application Number	Not Yet Assigned
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Page	2	of	2	Attorney Docket Number	SVL920030041US1

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
		U.S. Patent Application Serial No. 09/597,588, filed on June 20, 2000, entitled "Technique for Enforcing Temporal Uniqueness in an Object/Relational Database Management System Environment," invented by C.M. SARACCO.	
		IBM Corporation. <i>Table Management Utility. Reference Guide. IBM Brick Warehouse</i> . Chapter entitled "Loading Data into a Warehouse Language (XML). Version 6.2, August, 2002, Part. No. 000-9055 [online] Retrieved from <a href="http://www-3.ibm.com/software/data/informix/pubs/library/interim/9055-pdf.html">http://www-3.ibm.com/software/data/informix/pubs/library/interim/9055-pdf.html</a> , 408 pages.	
		NCR Corporation. Teradata® Multiload Reference. Release 07-06-02. B035-2409-122A, December 2002, 294 pages [online] Retrieved from <a href="http://www.info.ncr.com/temp/2409-122A185057.pdf">http://www.info.ncr.com/temp/2409-122A185057.pdf</a>	
		IBM Corporation. <i>Using Red Brick Warehouse with IBM DB2 Warehouse Manager</i> , 6 pages [online] Retrieved from <a href="http://www7b.boulder.ibm.com/dmdd/zones/informix/library/techarticle/0301jin.html">http://www7b.boulder.ibm.com/dmdd/zones/informix/library/techarticle/0301jin.html</a> .	
		Oracle 9i SQL Reference: MERGE, 3 pages [online] Retrieved from <a href="http://download-west.oracle.com/docs/cd/B10501_01/server.920/a96540/statements_915a.htm#2080943">http://download-west.oracle.com/docs/cd/B10501_01/server.920/a96540/statements_915a.htm#2080943</a>	

Examiner Signature		Date Considered	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			